

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

OCT 20 2003

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RESP:111US

Re Application: Srihari et al.

Serial No.

10/611,329

Filing Date

06/30/2003

Examiner

N/A

Group Art Unit

N/A

METHOD AND APPARATUS FOR ANALYZING AND/OR COMPARING HANDWRITTEN BIOMETRIC SAMPLES

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 50-0822 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on Oct. 17, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Sumita Chowdhury-Ghosh

Signature of Person Mailing Correspondence

Sumita Chowdhury-Ghosh, Ph.D.

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Sumita Chowdhury-Ghosh

Signature

Dated: October 17, 2003

Sumita Chowdhury-Ghosh, Ph.D.

Registration No. 50,476

CUSTOMER NO. 24041

Simpson & Simpson, PLLC

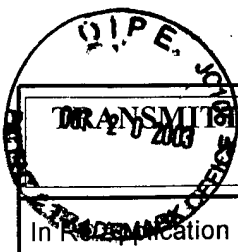
5555 Main Street

Williamsville, NY 14221-5406

Telephone No. 716-626-1564

SCG/KRB

CC:



TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RESP:111US

In Reply, Communication Of: **Srihari et al.**

Serial No.
10/611,329

Filing Date
06/30/2003

Examiner
N/A

Group Art Unit
N/A

Title: **METHOD AND APPARATUS FOR ANALYZING AND/OR COMPARING HANDWRITTEN AND/OR BIOMETRIC SAMPLES**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

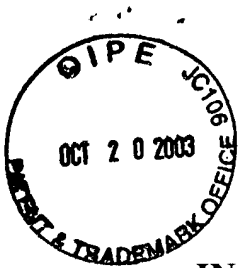
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



U.S. UTILITY PATENT APPLICATION
ATTORNEY DOCKET NO. RESP:111US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Matter of United States Patent Application:

Applicant(s): Srihari et al.

Examiner: N/A

Application No.: 10/611,329

Art Unit: N/A

Filed: June 30, 2003

For: METHOD AND APPARATUS FOR ANALYZING AND/OR COMPARING
HANDWRITTEN AND/OR BIOMETRIC SAMPLES

Certificate of Mailing by First Class Mail

I certify that this Information Disclosure Statement is being deposited on October 17, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Sumita Chowdhury-Ghosh
Sumita Chowdhury-Ghosh
Reg. No. 50,476

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Information Disclosure Statement is submitted in compliance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98.

Record is attached of published art for consideration during prosecution of the above-identified patent application. No representation is made or intended that a search has been made or, if made, was complete, or that no more pertinent art than that listed is available. It is expected

that the Patent and Trademark Office will conduct an independent search for relevant prior art.

Unless otherwise indicated, or unless references cited are U.S. patents or patent application publications, a full text copy of the entire reference of published art is attached. Where specifically indicated, an equivalent English language patent or publication is attached in lieu of the translation.

In accordance with the Patent and Trademark Office Notice of August 15, 1980, also enclosed is a completed Form PTO-A820 (Form PTO-1449).

Respectfully submitted,

A handwritten signature in cursive script that reads "Sumita Chowdhury-Ghosh".

Sumita Chowdhury-Ghosh, Ph.D.

Registration No. 50,476

Agent for Applicant

Simpson & Simpson, PLLC

5555 Main Street

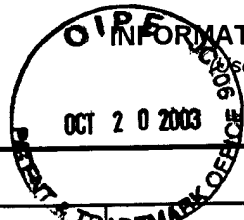
Williamsville, NY 14221-5406

Telephone No. 716-626-1564

Dated: October 17, 2003

SCG/KRB

Enclosure



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY DOCKET NO.

RESP:111US

SERIAL NO.

10/611,329

APPLICANT(S)

Srihari et al.

FILING DATE

06/30/2003

GROUP

N/A

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,654,875	03/31/1987	Srihari et al.			
	5,058,182	10/15/1991	Kuan et al.			
	5,257,220	10/26/1993	Shin et al.			
	5,321,768	06/14/1994	Fenrich et al.			
	5,515,455	05/07/1996	Govindaraju et al.			
	5,524,070	06/04/1996	Shin et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.